Department of Finance and Treasury Board Marysville Place P.O. Box 3000, Fredericton, NB E3B 5G5

# Small Business Investor Tax Credit Program



### **CORPORATION APPLICATION FOR REGISTRATION**

PA	RT	A – Identifi	cation										
Со	rpor	ation Name:											
NB	Со	rporate Affairs	s Regist	tration Nur	mber: _								
Ма	iling	Address:											
Ph	ysic	al Address (if	differer	nt from ma	iling add	dress):							
Contact Person:								Title	e:				
Telephone:				_ Facsim	ile:			E-Mail /	Address:				
Laı	ngua	age Preferenc	ce:	English		F	rench						
The "M	e fee linis ceive	ter of Financed by the Dep	ce"). Ple partment ONS SU	ease allow t of Financ	two to foe and T	our wee reasury	ks for p Board. \RY 1 <sup>s1</sup>	rocessi TO DE	money orders ing from the date	e the app	olicati	ion is	
PA	RT	C - Applicati	on Info	rmation									
1.	The	e proposed sh		•			•			Yes		No	
	is for newly issued, fully paid, shares of capital stock and does not include a replacement share;						;						
<ul> <li>will directly benefit the New Brunswick operations of the corporation;</li> <li>is not a share where a previous tax credit has been allowed under the New Bruns</li> </ul>							Brunswic	k Inc	ome 1	Гах Act.			
<ul> <li>the main purpose of issuing the share is not to claim the Small</li> </ul>										,			
	•	immediately after registration, or within such period of time as permitted by the Minister of Finance, the corporation will raise capital by issuing eligible shares;						ice, the					
	•	will include the rights to receive dividends declared by the corporation and to participate in the distribution of the remaining property of the corporation on dissolution; and											
	•	will not be pu 2002, and be		•			•	d of sha	ares of the corp	oration a	after	Dec.	10,
2.	The	e corporation	meets ¿	all of the fo	ollowing	criteria:				Yes		No	

- o corporation mode and or the following enternal
- · is a private corporation registered to carry on business in New Brunswick;
- has authorized capital consisting of shares without par value;
- is primarily engaged in a business activity or industry in New Brunswick;
- the net book value of tangible assets, including the assets of any of its associated corporations, is less than \$40 million;
- all, or substantially all, of the fair market value of the corporation's assets are used in an active business;
- in each of the four years after registration, the corporation will pay at least 75 per cent of its wages and salaries to New Brunswick residents unless a minimum of 50% of a corporation's total revenue

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is derived from the sale of its goods and services outside New Brunswick, in which instance, the corporation must pay a minimum of 50% of its wages and salaries to New Brunswick residents.

- is not lending money, providing a loan guarantee or any other financial assistance to a person for the purchase of shares included in a specified issue under the Small Business Investor Tax Credit Program.
- 3. Funds raised by the proposed share offering will be used for purposes other than those listed as prohibited in the **Investment Eligibility** Section of the **Schedule I** document.

Yes	П	No	П
163	ш	110	-

#### **PART D - Inclusions**

Please attach the following information with this application:

- investment plan
- □ income tax return from the previous taxation year (including those of associated corporations)
- copy of the most recent financial statements of the corporation (including those of associated corporations), or in the case of a startup operation proforma financial statements, which have been independently prepared by a chartered accountant, a certified general accountant or a certified management accountant.
- copy of the instrument of incorporation certified by an officer of the company
- copy of the corporate share registry and share transfer registry certified by an officer of the company
- statements signed by all potential/eligible investor certifying that the information in the investment plan with respect to the potential/eligible investors is accurate (see Applicant Corporation Potential Investor Statement)
- statement signed by the directors of the corporation certifying that the information contained in the application is complete and accurate (See Corporation Directors' Statement) and
- □ Fee (all cheques or money orders) to be made payable to "Minister of Finance".

#### **PART E - Annual Returns**

As required by the Minister of Finance, during the four-year holding period for specified share issuances, the corporation shall file an annual return, a detailed status report of its shareholdings, independently reviewed or audited financial statements and other information required to confirm the use or disposition of the raised capital and to ensure compliance with other Small Business Investor Tax Credit Program requirements.

### **PART F - Disclaimer**

The Province of New Brunswick does not guarantee the value of any shares issued by a corporation registered under the Small Business Investor Tax Credit Act and does not express any opinions as to the financial condition of such corporations or the merits of investing in the shares of such corporations.

This form is intended to provide information respecting application under the Province of New Brunswick Small Business Investor Tax Credit Act and should not be regarded as a replacement of the laws, regulations or administrative documents to which it refers. Where there is a conflict between this form and the legislation, the legislation shall prevail.

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#### **PART G - Certification**

I have read and understand the disclaimer in Part F.

I have read and understand the information on **Schedule1**.

I am an authorized officer of the corporation. The information contained in this application and its attachments is true and correct to the best of my knowledge and belief.

I consent to the New Brunswick Department of Finance and Treasury Board collecting, using and disclosing the information provided on this application and on any documents attached, for the purpose of verifying my eligibility for the Small Business Investor Tax Credit Program. I authorize the New Brunswick Department of Finance and Treasury Board to disclose this information to the Financial and Consumer Services Commission of New Brunswick (FCNB) for the above noted purpose.

The personal information on this form is being collected under the authority of the Small Business Investor Tax Credit Act, and will be used for necessary administration of this Program. If you have any questions regarding the collection and use of this information, please contact the SBITC Program Administrator, Department of Finance and Treasury Board, 200 Champlain St., Suite 350, Dieppe, N.B. E1A 1P1. Phone: 1-800-669-7070 or e-mail <a href="wwwfin@gnb.ca">wwwfin@gnb.ca</a>.

Name (please print)	Title				
Signature	Date				
Inquiries					
All inquiries should be directed to:					
Department of Finance and Treasury Board Revenue & Taxation Division 200 Champlain St., Suite 350 Dieppe, NB	Fax : E-mail : Website:	Telephone: (800) 669-7070 (506) 444-5086 wwwfin@gnb.ca www.gnb.ca/finance			