

“Video Lottery Siteholder (VL) registration requirements”

- Confirmation from Atlantic Lottery Corporation (ALC) confirming the number of video lottery devices that they intend to supply to the site.
- Valid liquor licence under the *Liquor Control Act*.
- Registration fee of \$3,200 for the initial application if you have between 15 and 25 devices (\$3,000 for renewal).
OR
- Registration fee of \$2,200 for the initial application if you have between 11 and 14 devices (\$2,000 for renewal).
OR
- Registration fee of \$1,200 for the initial application if you have between 1 and 10 devices (\$1,000 for renewal).
- Completed Application for Video Lottery Siteholder and Personal Disclosure Form (retailer and the manager).

Documents along with the appropriate fees must be forwarded to the address indicated below:

Department of Justice and Public Safety
Gaming, Liquor and Security Licensing Branch
P.O. Box 6000
Fredericton, NB E3B 5H1

For further inquiries, please contact the Gaming, Liquor and Security Licensing Branch at (506) 453-7472 or via email DPS-MSP.Information@gnb.ca.

« Exigences à remplir pour être inscrit à titre de maître de lieux d'exploitation d'appareils de jeux vidéo »

- Confirmation de la Société des loteries de l'Atlantique (SLA) confirmant le nombre d'appareils de jeux vidéo prévus pour les lieux visés.
- Licence d'alcool valide en vertu de la *Loi sur la réglementation des alcools*.
- Droits d'inscription initiale de 3 200 \$ si vous avez entre 15 à 25 appareils (droits de renouvellement de 3 000 \$).
OU
- Droits d'inscription initiale de 2 200 \$ si vous avez entre 11 à 14 appareils (droits de renouvellement de 2 000 \$).
OU
- Droits d'inscription initiale de 1 200 \$ si vous avez entre 1 à 10 appareils (droits de renouvellement de 1 000 \$).
- Formulaire de demande d'inscription à titre de maître de lieux d'exploitation d'appareils de jeux vidéo et formulaire de divulgation des renseignements personnels dûment remplis (détaillant et gestionnaire).

Les documents ainsi que les frais appropriés doivent être envoyés à l'adresse indiquée ci-dessous :

Ministère de la Justice et de la Sécurité publique
Direction de la réglementation des jeux, des alcools et de la sécurité
C.P. 6000
Fredericton (Nouveau-Brunswick) E3B 5H1

Pour de plus amples renseignements, veuillez communiquer avec la Direction de la réglementation des jeux, des alcools et de la sécurité au (506) 453-7472 ou par courriel DPS-MSP.Information@gnb.ca.

APPLICATION FOR REGISTRATION AS A VIDEO LOTTERY SITEHOLDER

Section A Reason for Application

- ☐ Category I Site
(15-75 Video Lottery Devices)
- ☐ Category II Site
(11-14 Video Lottery Devices)
- ☐ Category III Site
(1-10 Video Lottery Devices)

Section B Name and Address of Company

Legal Name		_____	
Business Name (Operating as)		_____	
Date Incorporated	_____	Place of Incorporation	_____
		Corporation Number	_____
Address		_____	
		(Street Number and Name)	
		(Suite / Floor)	(City)
		(Province / State / Country)	(Postal Code / Zip Code)
If leasing or renting the facility provide name and address of the landlord. _____			
Telephone () _____		Facsimile () _____	
Email		_____	

Attach the names and addresses under which the applicant has done or is doing business for the last 10 years:

_____	_____	_____
(Legal Name)	(Business Operating As)	(Address)
_____	_____	_____
(Legal Name)	(Business Operating As)	(Address)
_____	_____	_____
(Legal Name)	(Business Operating As)	(Address)
_____	_____	_____
(Legal Name)	(Business Operating As)	(Address)
_____	_____	_____
(Legal Name)	(Business Operating As)	(Address)

Section C Business Structure

- ☐ Corporation ☐ Limited Partnership
☐ Sole Proprietorship ☐ Other (please specify) : _____
☐ Partnership

Where applicable, attach copies of:

Certificate / Articles of Incorporation Charter Partnership Agreement(s)
Shareholder Agreement(s)
By-Laws

Attach a schematic diagram showing the relationship between all parent, controlling, subsidiary, affiliated and commonly controlled companies, if applicable, and any periodic updates or amending agreements.

Section D Owners and Co-Owners of the Company

Provide on an attached sheet the full legal name and date of birth for all owners of the applicant and attach a completed **Personal Disclosure Form #78-9684** for each.

Section E Managers of Gaming

Provide on an attached sheet the full legal name and date of birth for all managers responsible for gaming in the establishment and attach a completed **Personal Disclosure Form #78-9684** for each.

Section F Charges / Convictions / Findings of Guilt

- (a) Has the applicant ever been charged or convicted of any type of offense in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet for each:

- (i) description of charge or conviction;
- (ii) date of charge or conviction (include any written judgments);
- (iii) description of sentence (include any written decisions);
- (iv) name and address of court; and
- (v) court file number (if known).

- (b) Does the applicant have any charges outstanding in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet:

- (i) description of charge;
- (ii) date of charge;
- (iii) name and address of court;
- (iv) court file number (if known); and
- (v) next court date (if applicable).

Section G Civil Proceedings

- (a) Has the applicant had any claim made against it in the last 10 years in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet:

- (i) description of claim (include statements of claim and defense);
- (ii) name of other parties to the proceeding;
- (iii) outcome of proceedings (include any written judgments);
- (iv) name and address of court; and
- (v) court file number (if known).

- (b) Does the applicant have any outstanding claims filed and pending disposition in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet:

- (i) description of claim;
- (ii) name of other parties to the proceeding;
- (iii) date of proceeding;
- (iv) name and address of court; and
- (v) court file number (if known).

Section H Permits, Licenses, Certificates and Registrations

- (a) Has the applicant ever applied for any permit, license, certificate or registration in connection with gaming in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of regulatory body;
- (ii) type of permit, license or registration;
- (iii) date of application;
- (iv) disposition of application (approved (terms and conditions), abandoned, withdrawn, refused).

- (b) Has the applicant ever had a permit, license, certificate or registration in connection with gaming refused, suspended, revoked, or any other types of penalties (e.g. fines) in any jurisdiction? ☐ No ☐ Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of regulatory body;
- (ii) type of permit, license, or registration;
- (iii) action taken (e.g. refused, suspended, revoked, fine, or other disciplinary action);
- (iv) include copy of written decision if any; and
- (v) date action taken.

Section I Attachments

List number of pages attached of additional documents (if applicable) and ensure they are clearly labeled:

☐ Section A _____
☐ Section B _____
☐ Section C _____
☐ Section D _____
☐ Section E _____

☐ Section F _____
☐ Section G _____
☐ Section H _____

Total number of pages attached _____

Prior to evaluation of the application for registration, the following documents will be required:

- ☐ Fee as prescribed by Regulation 2008-113 – Video Lottery Siteholders Regulation under the *Gaming Control Act*.
Cheque made payable to the Minister of Finance
- ☐ Confirmation from Atlantic Lottery Corporation confirming the number of video gaming devices it intends to supply to the premises if registration is granted.

NOTICE

As required by the ***Protection of Personal Information Act*** and the ***Right to Information Act***.

In compliance with the *Gaming Control Act*, it may be necessary for the Registrar of Gaming Control to collect and receive additional information from any source in order to complete or verify the information provided on or with this form and to determine eligibility for registration. The Gaming, Liquor and Security Licensing Branch is required under the *Protection of Personal Information Act* and the *Right to Information Act* to protect the confidentiality of such information in its possession and control and to use the information only for purposes for which it is collected or for consistent purposes.

Information collected may be used and disclosed as follows:

1. To evaluate the applicant, and individuals and corporations identified in connection with the applicant, regarding their person, financial, business and criminal history, honesty and business integrity;
2. Information on the applicant, and on individuals and corporations identified in connection with the applicant, may be shared with Government officials who are assisting the Gaming, Liquor and Security Licensing Branch in the evaluation of applicants for registration and with the Atlantic Lottery Corporation;
3. The Branch may share information on the applicant, and on individuals and corporations identified in connection with the applicant, with law enforcement agencies for gaming-related investigations or clearances, with other branches in the Department, and with the Atlantic Lottery Corporation; and
4. To conduct ongoing personal history investigations, including checks for criminal charges outstanding and past criminal convictions or criminal records, during the entire course of the applicant's registration with the Gaming, Liquor and Security Licensing Branch.

If you have questions about the collection and disclosure of information, please call (506) 453-7472 or e-mail DPS-MSP.Information@gnb.ca.

Statutory Declaration

I, _____ swear/solemnly declare that
(Name of Authorized Person)

I am authorized to provide the answers provided in this application and that they, as well as all of the information contained in the documents and materials submitted with it, are, to the best of my knowledge and belief, true and complete.

I agree to give the Branch, as and when requested, any additional or written authorization that is required for the purposes of this application.

I have read and understand the above notice, I hereby consent to the direct and indirect collection of information by the Branch and consent to the use and disclosure of this information as described in the above notice.

_____ this ____ day of _____ 20_____
Applicant's Signature