

Department of Public Safety
Gaming, Liquor and Security Licensing Branch
P. O. Box 6000
Fredericton, NB
E3B 5H1



Ministère de la Sécurité publique
Direction de la réglementation des jeux, des
alcools et de la sécurité
C. P. 6000
Fredericton (Nouveau-Brunswick)
E3B 5H1

78-9726 (02/19)

APPLICATION FOR REGISTRATION GAMING ASSISTANT

Section A Reason for Application

Casino Employee

Casino Key Employee

Initial Application

Renewal

Section B Conditional Offer of Employment

I, _____ (name of authorized signing officer of casino) _____ (title of authorized signing officer)

confirm that _____ (first name of applicant) _____ (last name of applicant)

has been granted a conditional offer of employment as a _____ (position title)

I further confirm that I will not employ the applicant in any capacity requiring registration until she or he is registered with the New Brunswick Gaming, Liquor and Security Licensing Branch.

(signature of authorized person)

Section C Identification of Individual

Last Name _____ First Name _____ Middle Name _____

Provide any other names or aliases you have used or by which you are known (e.g. birth name, married name, nick name).

Sex (Male) (Female) Birth date _____ mm dd yy Place of Birth _____

Social Insurance Number _____ / _____ / _____

Section C Identification of Individual (Continued)

Provide a list of country(s) in which you have citizenship / hold a valid passport _____

Provide a set of fingerprints taken by a police service if requested.

Current Address

 (Street No.) (Street Name) (Suite / Apt / Unit) (City)

 (Country) (Postal / Zip Code)

Telephone () _____ **Facsimile** () _____

Marital Status Single Married Common-Law Divorced Separated

(A) Provide current full name and any other names or aliases used by your spouse or common-law spouse or by which your spouse or common-law spouse is known (e.g. birth name, nick name and all former married names or surname(s) if different from your surname).

 (First Name) (Middle Name) (Last Name)

 (Street No.) (Street Name) (Suite / Apt / Unit) (City)

 (Province / State) (Country) (Postal / Zip Code)

 (birth name, nick name, former names, etc.) Birth date mm | dd | yy Social Insurance Number (if applicable) | |

(B) Please list all other members of your immediate family including: parents, siblings (including half/step siblings and children (include step children). Attach a list if necessary.

(i)

 (First Name) (Middle Name) (Last Name)

Birth date mm | dd | yy _____
 (relationship to applicant)

 (Street No.) (Street Name) (Suite / Apt / Unit) (City)

 (Province / State) (Country) (Postal / Zip Code)

Section C Identification of Individual (Continued)

(ii) _____
(First Name) (Middle Name) (Last Name)

Birth date _____
mm dd yy (relationship to applicant)

(Street No.) (Street Name) (Suite / Apt / Unit) (City)

(Province / State) (Country) (Postal / Zip Code)

(iii) _____
(First Name) (Middle Name) (Last Name)

Birth date _____
mm dd yy (relationship to applicant)

(Street No.) (Street Name) (Suite / Apt / Unit) (City)

(Province / State) (Country) (Postal / Zip Code)

(iv) _____
(First Name) (Middle Name) (Last Name)

Birth date _____
mm dd yy (relationship to applicant)

(Street No.) (Street Name) (Suite / Apt / Unit) (City)

(Province / State) (Country) (Postal / Zip Code)

If additional space is required, please attach a separate sheet.

Section D Residence History

Provide a list of all residence(s) where you have lived for the past 10 years.

(i)

(Street No.)	(Street Name)	(Suite / Apt / Unit)	(City)	
(Province / State)	(Country)	(Postal/Zip Code)	From (mm) (yy)	To (mm) (yy)

(ii)

(Street No.)	(Street Name)	(Suite / Apt / Unit)	(City)	
(Province / State)	(Country)	(Postal/Zip Code)	From (mm) (yy)	To (mm) (yy)

(iii)

(Street No.)	(Street Name)	(Suite / Apt / Unit)	(City)	
(Province / State)	(Country)	(Postal/Zip Code)	From (mm) (yy)	To (mm) (yy)

(iv)

(Street No.)	(Street Name)	(Suite / Apt / Unit)	(City)	
(Province / State)	(Country)	(Postal/Zip Code)	From (mm) (yy)	To (mm) (yy)

Section E Employment History

(A) Provide the following information for each job you have held in the last 10 years:

Current Employer:

(Name of employer)	(Street No.)	(Street Name)		
(City)	(Province / State)	Country	From (mm) (yy)	To (mm) (yy)

Section E Employment History (Continued)**Previous Employer:**

_____		_____	_____	
(Name of employer)		(Street No.)	(Street Name)	
_____	_____	_____	From	To
(City)	(Province / State)	Country	(mm) (yy)	(mm) (yy)

If additional space is required, please attach a separate sheet.

- (B)** Have you ever been discharged or asked to resign from a job? No Yes
- If yes, provide the following information on an attached sheet for each occasion in which you were discharged or asked to resign:
- (i) name and address of employer;
 - (ii) date of discharge or resignation; and
 - (iii) reason for discharge or resignation.

Section F Positions of Trust

- (A)** Have you ever served as an officer, director or board member of a corporation or non-profit organization or served in any other position of trust? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of entity;
- (ii) position held; and
- (iii) dates position held.

- (B)** Have you ever served as a trustee for an individual, organization, corporation or non-profit organization or served in any other position of trust? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of entity;
- (ii) position held; and
- (iii) dates position held.

- (C)** Have you ever been removed, discharged or asked to resign from a position of trust? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of entity;
- (ii) position held;
- (iii) dates of removal, discharge or resignation; and
- (iv) reason(s) for removal, discharge or resignation.

Section G Charges/Convictions/Findings of Guilt
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- (A)** Have you ever been charged or convicted of any type of offense in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) description of charge or conviction;
- (ii) date of charge or conviction (include any written judgments);
- (iii) description of sentence (include any written decisions);
- (iv) name and address of court; and
- (v) court file number (if known).

- (B)** Have you any charges outstanding in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) description of charge;
- (ii) date of charge;
- (iii) name and address of court;
- (iv) court file number (if known); and
- (v) next court date.

Section H Civil Proceedings

- (A)** Have you had any claim made against you in the past 10 years in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) description of claim (include statements of claim and defense);
- (ii) name of other parties to the proceedings;
- (iii) outcome of proceeding (include any written judgments);
- (iv) date of proceedings;
- (v) name and address of court; and
- (vi) court file number.

- (B)** Are there any outstanding claim(s) filed against you or any business entity in which you are associated in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) description of the claim/
- (ii) name of other parties to the proceeding;
- (iii) date of proceeding;
- (iv) name and address of court; and
- (v) court file number.

Section I Permits, Licenses, Certificates and Registrations
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- (A)** Have you, or any business entity in which you are or were associated ever applied for any permit, license, certificate or registration in connection with gaming in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of regulatory body;
- (ii) type of permit, license or registration;
- (iii) date of application; and
- (iv) disposition of application (approved (terms and conditions), abandoned, withdrawn, refused).

Section I Permits, Licenses, Certificates and Registrations (Continued)
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- (B)** Have you or any business entity in which you are or were associated, ever had a permit, license or certificate or registration in connection with gaming refused, suspended or revoked or had any other type of disciplinary action imposed in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of regulatory body;
- (ii) type of permit, license or registration;
- (iii) action taken;
- (iv) include copy of written decision, if any; and
- (v) date action taken.

- (C)** Have you or any business entity in which you are or were associated, ever had any other type of license, registration, professional designation or certification denied, suspended or revoked or had any other type of disciplinary action imposed in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of association, profession or other body of authority;
- (ii) type of permit, license or certificate of registration;
- (iii) action taken;
- (iv) include copy of written decision if any; and
- (v) date action taken.

Section J Bankruptcy Proceedings

- Have you or any business entity in which you are or were associated, ever filed for bankruptcy, been petitioned into bankruptcy or made a proposal under any bankruptcy or insolvency law in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) name and address of the entity, if applicable;
- (ii) name and address of filing party;
- (iii) type of petition filed;
- (iv) date of filing;
- (v) name and address of trustee;
- (vi) name and address of court;
- (vii) court file number; and
- (viii) disposition or outcome.

NOTE: Include a copy of any discharge if applicable.

Section K Garnishment Proceedings
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- Have your wages, earnings or other income ever been subject to garnishment, attachment or other similar orders in any jurisdiction? No Yes

If yes, provide the following information on an attached sheet:

- (i) nature and amount of obligation;
- (ii) name and address of the holder of the obligation;
- (iii) name and address of court;
- (iv) court file number; and
- (v) current status.

Section L Attachments

List number of pages attached of additional documents (if applicable) and ensure they are clearly labeled:

<input type="checkbox"/> Section A	_____	<input type="checkbox"/> Section G	_____
<input type="checkbox"/> Section B	_____	<input type="checkbox"/> Section H	_____
<input type="checkbox"/> Section C	_____	<input type="checkbox"/> Section I	_____
<input type="checkbox"/> Section D	_____	<input type="checkbox"/> Section J	_____
<input type="checkbox"/> Section E	_____	<input type="checkbox"/> Section K	_____
<input type="checkbox"/> Section F	_____		

Total number of pages attached

Note: Fees as prescribed by Regulation 2009-24 – Casino Regulation under the *Gaming Control Act* must accompany this application for registration. Please have cheque made payable to the Minister of Finance.

NOTICE

As required by the ***Protection of Personal Information Act*** and the ***Right to Information Act***.

In compliance with the *Gaming Control Act*, it may be necessary for the Registrar of Gaming Control to collect and receive additional information from any source, including from some or all of the following domestic and foreign sources: federal governments (including Canada Customs and Revenue Agency/IRS), provincial governments, state governments or municipal governments, licensing bodies, police services, other law enforcement agencies, sheriff's offices, the Registrar of Bankruptcy, credit bureaus, trust companies, banks, professional and industry associations, former and current employers, and any government Ministry or Agency in order to complete or verify the information provided on or with this form and to determine eligibility for employment,. The Gaming, Liquor and Security Licensing Branch is required under the *Protection of Personal Information Act* and the *Right to Information Act* to protect the confidentiality of such information in its possession and control and to use the information only for purposes for which it is collected or for consistent purposes.

Information collected may be used and disclosed as follows:

1. To evaluate the applicant, and individuals identified in connection with the applicant, regarding their person, financial, business and criminal history, honesty and business integrity;
2. Information on the applicant, and on individuals identified in connection with the applicant, may be shared with Government officials who are assisting the Branch in the evaluation of applicants for registration;
3. The Branch may share information on the applicant, and on individuals identified in connection with the applicant, with other jurisdictions with which it makes formal agreements;
4. The Branch may share information on the applicant, and on individuals identified in connection with the applicant, with law enforcement agencies for gaming-related investigations or clearances, with other branches in the Department, including the Atlantic Lottery Corporation and;
5. To conduct ongoing personal history investigations, including checks for criminal charges outstanding and past criminal convictions or criminal records, during the entire course of the applicant's registration with the Gaming, Liquor and Security Licensing Branch.

I agree to give the Branch, as and when requested, any additional or written authorization that is required for the purposes of this application.

I have read and understand the above notice, I hereby consent to the direct and indirect collection of information by the Branch and consent to the use and disclosure of this information as described in the above notice.

If you have questions about the collection and disclosure of information, please call (506)-453-7472 or e-mail DPS-MSP.Information@gnb.ca.

Statutory Declaration

I, _____ swear/solemnly declare that
(Name)

all of the answers provided in this application, as well as all of the information contained in the documents and materials submitted with it, are, to the best of my knowledge and belief, true and complete.

SWORN / SOLEMNLY DECLARED BEFORE ME at)

(City / Town) (Municipality)

(State / Province) (Country)

this _____ day of _____ 20 _____

(Barrister, Solicitor, Commissioner of Oaths or Notary
Public)

(Signature of Applicant)