

## SHAREHOLDER DISCLOSURE FORM Casino: Gaming Supplier and Non-Gaming Supplier

### Section A Information on the Applicant Gaming Supplier or Non-Gaming Supplier

Legal Name of Applicant Supplier \_\_\_\_\_

Gaming Supplier

Non-Gaming Supplier

Address \_\_\_\_\_

(Street Number and Name)

\_\_\_\_\_  
(Suite / Floor)

\_\_\_\_\_  
(City)

\_\_\_\_\_  
(Province / State / Country)  
Code)

\_\_\_\_\_  
(Postal Code / Zip  
Code)

Telephone ( ) \_\_\_\_\_

Facsimile ( ) \_\_\_\_\_

### Section B Name and Address of Shareholder

Legal Name \_\_\_\_\_

Business Name (Operating As) \_\_\_\_\_

Date

Incorporated \_\_\_\_\_

Place of

Incorporation \_\_\_\_\_

Corporation

Number \_\_\_\_\_

Address \_\_\_\_\_

(Street Number and Name)

\_\_\_\_\_  
(Suite / Floor)

\_\_\_\_\_  
(City)

\_\_\_\_\_  
(Province / State / Country)  
Code)

\_\_\_\_\_  
(Postal Code / Zip  
Code)

Telephone ( ) \_\_\_\_\_

Facsimile ( ) \_\_\_\_\_

**Section C Information Regarding Investment by Shareholder with Applicant Supplier**

Voting  
Shareholder

Non-voting Shareholder

Name of Stock Exchange \_\_\_\_\_

Name of Fund Trustees \_\_\_\_\_

Name of Brokerage \_\_\_\_\_

Number of Shares in Applicant Supplier's Company \_\_\_\_\_

Percentage of Shares in Applicant Supplier's Company \_\_\_\_\_

**Section D Officers**

Provide on an attached sheet the full legal name, date of birth and addresses for all officers of the shareholder company.

# NOTICE

As required by the ***Protection of Personal Information Act*** and the ***Right to Information Act***.

In compliance with the *Gaming Control Act*, in order to complete or verify the information provided on or with this form and to determine eligibility for registration, it may be necessary for the Registrar of Gaming Control to collect and receive additional information from any source, including from some or all of the following domestic and foreign sources: federal governments (including Canada Customs and Revenue Agency/IRS), provincial governments, state governments or municipal governments, licensing bodies, police services, other law enforcement agencies, sheriff's offices, the Registrar of Bankruptcy, credit bureaus, trust companies, banks, professional and industry associations, former and current employers, and any government Ministry or Agency. The New Brunswick Lotteries and Gaming Corporation and the Gaming, Liquor and Security Licensing Branch are required under the *Protection of Personal Information Act* and the *Right to Information Act* to protect the confidentiality of such information in its possession and control and to use the information only for purposes for which it is collected or for consistent purposes.

Information collected may be used and disclosed as follows:

1. To evaluate the applicant, and individuals and corporations identified in connection with the applicant, regarding their person, financial, business and criminal history, honesty and business integrity;
2. Information on the applicant, and on individuals and corporations identified in connection with the applicant, may be shared with Government officials who are assisting the Branch in the evaluation of applicants for registration;
3. The Branch may share information on the applicant, and on individuals and corporations identified in connection with the applicant, with other jurisdictions with which it makes formal agreements;
4. The Branch may share information on the applicant, and on individuals and corporations identified in connection with the applicant, with law enforcement agencies for gaming-related investigations or clearances; and
5. To conduct ongoing personal history investigations, including checks for criminal charges outstanding and past criminal convictions or criminal records, during the entire course of the applicant's registration with the Gaming, Liquor and Security Licensing Branch.

I agree to give the Branch, as and when requested, any additional or written authorization that is required for the purposes of this application.

# Statutory Declaration

I, \_\_\_\_\_ am the \_\_\_\_\_  
(Name) (position)

of \_\_\_\_\_  
(Shareholder)

As such, I am fully empowered to make the representations contained within this declaration,

I have read and understand the above notice, I hereby consent as or on behalf of the shareholder to the direct and indirect collection of information by the Branch and consent to the use and disclosure of this information as described in the above notice.

I swear/solemnly declare that I am fully empowered to bind the shareholder and that all of the answers provided in this application, as well as all of the information contained in the documents and materials submitted with it, are, to the best of my knowledge and belief, true and complete.

**SWORN / SOLEMNLY DECLARED BEFORE ME AT)**

\_\_\_\_\_  
(City / Municipality)  
Town)

\_\_\_\_\_  
(State / Country)  
Province)

this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_

\_\_\_\_\_  
(Barrister, Solicitor, Commissioner of Oaths or Notary Public)

\_\_\_\_\_  
(Signature)